

## ECE 602 Lecture Notes: Examination of a Companion Matrix

Yesterday in lecture we examined the companion matrix

$$A = \begin{bmatrix} -\alpha_1 & -\alpha_2 & -\alpha_3 & -\alpha_4 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}. \quad (1)$$

The characteristic equation of  $A$  is

$$\begin{aligned} \det(sI - A) &= \begin{vmatrix} s + \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 \\ -1 & s & 0 & 0 \\ 0 & -1 & s & 0 \\ 0 & 0 & -1 & s \end{vmatrix} \\ &= (s + \alpha_1) \begin{vmatrix} s & 0 & 0 \\ -1 & s & 0 \\ 0 & -1 & s \end{vmatrix} - (-1) \begin{vmatrix} \alpha_2 & \alpha_3 & \alpha_4 \\ -1 & s & 0 \\ 0 & -1 & s \end{vmatrix} \\ &= (s + \alpha_1) s^3 + \alpha_2 s^2 - (-1)(\alpha_3 s - (-\alpha_4)) \\ &= s^4 + \alpha_1 s^3 + \alpha_2 s^2 + \alpha_3 s + \alpha_4 = 0. \end{aligned} \quad (2)$$

Thus, if  $\alpha_4$  were zero, the characteristic polynomial would be

$$s(s^3 + \alpha_1 s^2 + \alpha_2 s + \alpha_3)$$

and zero would be an eigenvalue of  $A$ . If zero were an eigenvalue of  $A$ , then  $A$  would be singular, *i.e.* non-invertible.

Can the matrix be singular if  $\alpha_4$  is nonzero? The answer is “no”, because if  $\alpha_4$  is nonzero, then the four rows of the matrix  $A$  are linearly independent regardless of the values of  $\alpha_1$ ,  $\alpha_2$ , and  $\alpha_3$ . Of course we could also have used this simpler argument to establish that if  $\alpha_4$  were zero, the matrix  $A$  would be singular. Specifically, if  $\alpha_4$  were zero, the fourth column would be the zero vector so we would have only three linearly independent rows/columns.

To show that

$$A^{-1} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1/\alpha_4 & -\alpha_1/\alpha_4 & -\alpha_2/\alpha_4 & -\alpha_3/\alpha_4 \end{bmatrix}, \quad (3)$$

we simply multiply  $A$  by  $A^{-1}$  and verify that the result is  $I$ .

What can we say about the eigenvalues and eigenvectors?

Suppose that  $\lambda$  is an eigenvalue of  $A$ . Then

$$\lambda^4 + \alpha_1\lambda^3 + \alpha_2\lambda^2 + \alpha_3\lambda + \alpha_4 = 0$$

Also,

$$\begin{aligned} 0 &= (A - \lambda I)v \\ &= \begin{bmatrix} -\alpha_1 - \lambda & -\alpha_2 & -\alpha_3 & -\alpha_4 \\ 1 & -\lambda & 0 & 0 \\ 0 & 1 & -\lambda & 0 \\ 0 & 0 & 1 & -\lambda \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} \\ &= \begin{bmatrix} (-\alpha_1 - \lambda)v_1 - \alpha_2v_2 - \alpha_3v_3 - \alpha_4v_4 \\ v_1 - \lambda v_2 \\ v_2 - \lambda v_3 \\ v_3 - \lambda v_4 \end{bmatrix} \end{aligned} \quad (4)$$

Here we have (assuming  $\lambda$  known) four equations in the four unknowns  $v_1, v_2, v_3$ , and  $v_4$ . From the fourth row we have that  $v_3 = \lambda v_4$ . From the third,  $v_2 = \lambda v_3 = \lambda^2 v_4$ . From the second,  $v_1 = \lambda v_2 = \lambda^2 v_3 = \lambda^3 v_4$ . Then the first row is

$$\begin{aligned} 0 &= (-\alpha_1 - \lambda)v_1 - \alpha_2v_2 - \alpha_3v_3 - \alpha_4v_4 \\ &= (-\alpha_1 - \lambda)\lambda^3v_4 - \alpha_2\lambda^2v_4 - \alpha_3\lambda v_4 - \alpha_4v_4 \\ &= ((-\alpha_1 - \lambda)\lambda^3 - \alpha_2\lambda^2 - \alpha_3\lambda - \alpha_4)v_4 \\ &= -(\lambda^4 + \alpha_1\lambda^3 + \alpha_2\lambda^2 + \alpha_3\lambda + \alpha_4)v_4. \end{aligned} \quad (5)$$

We already know that if  $\lambda$  is an eigenvalue of  $A$  it satisfies the characteristic equation of  $A$  so this first equation holds regardless of the value of  $v_4$ . As always, we can choose one element of the eigenvector arbitrarily so let's make  $v_4 = 1$ . Then the eigenvector corresponding to  $\lambda$  is

$$v = [\lambda^3 \ \lambda^2 \ \lambda \ 1]^T.$$